

Semiempirical Studies of Core Electron Binding Energies

Part 10. The SCC-MO Calculations on some Purines

Z. B. Maksić* and K. Rupnik

Theoretical Chemistry Group, The "Rudjer Bošković" Institute, Zagreb, Yugoslavia

and A. Veseli

The Faculty of Natural Sciences, Priština, Yugoslavia

Z. Naturforsch. **38a**, 866–873 (1983); received February 2, 1983

The solid state binding energies of 8-azaguanine, 8-azaxanthine, hypoxanthine and xanthine were calculated by using semiempirical self-consistent charge intramolecular electrostatic potentials expressed in the point-charge approximation. The results are essentially in good agreement with the experimental data giving in the same time a very simple and transparent interpretation of ESCA spectra which is close to the chemical intuition. Splitting of some unresolved N(1s) peaks is proposed and separate binding energies are attributed to particular nitrogen atoms. Since the point-charge model describes a number of molecular properties, it is concluded that formal atomic charges are meaningful within the adopted theoretical framework despite the fact that they can not be defined in a unique fashion. The relaxation energy and work functions of the studied molecular crystals are briefly discussed.

1. Introduction

Electron spectroscopy for chemical analysis (ESCA) is a useful vehicle for exploring gross molecular properties in gaseous [1], liquid [2] and solid [3] samples. It is also a convenient method for discussing electronic features in polymers [4], chemisorption and physisorption phenomena [5], homogeneous catalysis [6] etc. The measured ESCA chemical shifts give probably the best insight into the charge distribution in molecular systems. The relation between inner-core energy shifts and formal atomic charges was first suggested by Siegbahn *et al.* [1]. It was subsequently used in a number of semiempirical calculations. However, by far the best results are obtained with the self consistent charge method (SCC-MO) as we have conclusively shown in a series of papers [7–9]. The backbone of this approach is the calculation of the potential at the nucleus in the point-charge approximation. The relaxation of the electron density upon ionization is taken explicitly into account employing the equivalent core concept [10] or the transition potential

formalism [11]. The results for a large number of atoms in a wide variety of different chemical environments were in good agreement with the experimental data. Since the quality of the results is much higher than that obtained by e.g. the CNDO/2 method, it is desirable to apply our simple and efficient procedure to large compounds of chemical interest. Here we consider inner-shell binding energies in some biologically important purines. Their charge distributions and ESCA base lines will be examined. The relaxation energies and solid state work functions are also briefly discussed.

2. Outline of the Method

Basch [12] and Schwartz [13] have shown that the ESCA chemical shifts of 1s levels are mostly affected by the changes in electrostatic potential exerted on the host nucleus

$$\Delta BE_A = k_A \Delta V_A + I_A, \quad (1)$$

where

$$V_A = \sum_B [(Z_B - 2)/R_{AB}] - \langle 0 | (1/r_A) | 0 \rangle \quad (2)$$

and k_A , I_A are adjustable parameters. Calculating the one-center $1/r$ integrals and approximating the polycenter ones by point-charges, one obtains the

* Also at the Faculty of Natural Sciences and Mathematics, Marulićev trg 19, 41000 Zagreb, Yugoslavia.

Reprint requests to Prof. Dr. Z. B. Maksić, The "Rudjer Bošković" Institute, 41001 Zagreb, Yugoslavia.

0340-4811 / 83 / 0800-0866 \$ 01.3 0/0. – Please order a reprint rather than making your own copy.



Dieses Werk wurde im Jahr 2013 vom Verlag Zeitschrift für Naturforschung in Zusammenarbeit mit der Max-Planck-Gesellschaft zur Förderung der Wissenschaften e.V. digitalisiert und unter folgender Lizenz veröffentlicht: Creative Commons Namensnennung-Keine Bearbeitung 3.0 Deutschland Lizenz.

Zum 01.01.2015 ist eine Anpassung der Lizenzbedingungen (Entfall der Creative Commons Lizenzbedingung „Keine Bearbeitung“) beabsichtigt, um eine Nachnutzung auch im Rahmen zukünftiger wissenschaftlicher Nutzungsformen zu ermöglichen.

This work has been digitalized and published in 2013 by Verlag Zeitschrift für Naturforschung in cooperation with the Max Planck Society for the Advancement of Science under a Creative Commons Attribution-NoDerivs 3.0 Germany License.

On 01.01.2015 it is planned to change the License Conditions (the removal of the Creative Commons License condition "no derivative works"). This is to allow reuse in the area of future scientific usage.

simple formula [7]

$$\Delta BE_A = k_1 Q_{2s}^A + k_2 Q_{2p}^A + k_3 \sum_B (Z_B - 2 - Q_B) / R_{AB} + k_4, \quad (3)$$

where $Q_{2p}^A = \sum_x Q_{2px}^A$ ($x = x, y, z$) and Q_μ is the orbital population $Q_\mu = P_{\mu\mu} + \sum_B \sum_v' P_{\mu v} S_{\mu v}$. The valence shell electron density on atom B is denoted by Q_B . The weighting factors k_i ($i = 1, 2, 3, 4$) put the potentials in line with the energy shifts. The k_4 parameter is related to the reference level. The remaining factors absorb a large portion of the relaxation energy [7]. The factor k_3 multiplying the Madelung term deserves some more comments. Careful analysis of the ab initio DZ results reveals that the electrostatic potential at the nucleus can be accurately calculated in the point-charge approximation where the constant k_3 is unity [14]. The fact that k_3 is generally different from unity ($k_3 \neq 1$) in the formula (3) reveals the influence of the intramolecular charge transfer reorganization on the binding energy shifts (vide infra).

Thus the use of $k_3 = 1$ in the ground state potential (GPM) formulas of the type (3) by other workers is not quite justified. The one-center relaxation due to the contraction of atomic orbitals is included in the weighting factors k_1 and k_2 as discussed by Snyder [15]. Hence, a great deal of the relaxation energy is taken into account even in the approach involving only ground state charge distribution. The parameters k_1 and k_2 could be contracted to a single constant $k_1 = k_2$. Then the ΔBE_A shifts depend explicitly on the ground state charge of the ionized atom A denoted by q_A . Depending on the number of the weighting factors and their interrelations one can distinguish several models. They are signified as q ($k_1 = k_2$, $k_3 = 0$) if the Madelung term is neglected. Inclusion of the Madelung contribution ($k_1 = k_2$, $k_3 \neq 0$), abbreviated by M , is denoted by $q + M$ etc. If molecules with special structural characteristics regarding shape and size are considered, then the relaxation energy can play a significant role. It can be conveniently treated by invoking the equivalent core approximation [10] which simulates the ΔSCF procedure yielding the extended formula

$$\Delta BE_A = k_1 (Q_A + Q_{\tilde{A}}) + k_3 (M_A + M_{\tilde{A}}) + k_4, \quad (4)$$

where \tilde{A} stands for the $Z_A + 1$ atom ionized in the valence shell. An analogous formula obtained by the transition potential operator reads

$$\Delta BE_A = k_1 Q_A^{TP} + k_3 M_A^{TP} + k_4, \quad (5)$$

where the transition potential is simulated by the pseudoatom possessing $Z_A + 1/2$ nuclear charge. Somewhat more elaborate formulas for the equivalent core approach distinguishing 2s and 2p orbitals placed on the host atom take the forms

$$\Delta BE_A = k_1 [(\xi_{2s} Q_{2s} + \xi_{2p} Q_{2p}) + (\tilde{\xi}_{2s} \tilde{Q}_{2s} + \tilde{\xi}_{2p} \tilde{Q}_{2p})] + k_3 (M_A + M_{\tilde{A}}) + k_4 \quad (6)$$

and

$$\Delta BE_A = k_1 (\xi_{2s} Q_{2s} + \xi_{2p} Q_{2p}) + k_2 (\tilde{\xi}_{2s} \tilde{Q}_{2s} + \tilde{\xi}_{2p} \tilde{Q}_{2p}) + k_3 (M_A + M_{\tilde{A}}) + k_4, \quad (7)$$

which have three and four-parameters, respectively. The atomic charges and orbital populations were computed by the SCC-MO method, which in turn is described elsewhere [7]. The experimental X-ray geometries were used for 8-azaguanine [16a] and 8-azaxanthine [16b]. Since experimental data were not available for xanthine and hypoxanthine, here MINDO/3 [16c] optimized structural parameters were employed. Although MINDO/3 geometries leave much to be desired exhibiting appreciable errors, several test cases showed that ESCA shifts are not very sensitive on the relatively small deviations of the structural parameters from the precise values. This is to be expected because interatomic distances enter the denominator of the $1/r$ operator and the first order correction is given by $\Delta r/r^2$. This is also one of the reasons why the calculations on molecules involving pseudoatoms or equivalent cores were executed for ground state geometries. The other reason is that heavy nuclei can not follow completely the fast photoionisation process due to their inertness. Finally, Clementi-Raimondi AOs were employed in computations since they have different screening constants for s and p-type orbitals [16d].

3. Results and Discussion

ESCA shifts

The estimated solid state ESCA shifts for 8-azaguanine, 8-azaxanthine, hypoxanthine and xanthine are compared with the corresponding experimental

data [17] in Table 1. The C(1s) and N(1s) shifts were determined relative to the gas phase reference levels of CH₄ and N₂, which take values of 290.7 and 409.9 (in eV), respectively. The empirical weighting factors were taken from the earlier work [8]. Survey of the results shows that the overall agreement with measured values is quite good for all five formulas. Their performance is best illustrated by the average absolute errors which are 0.4, 0.3, 0.2, 0.2 and 0.2 (in eV) starting from the q model and ending up with the results obtained by

the (7), respectively. The simple two parameter q model works well particularly if one takes into account the fact that other semiempirical methods face serious troubles in treating nitrogen shifts [7]. Slight improvement is observed with the q^{TP} model since the charge on the host atom calculated by the transition potential method reflects better the effect of the electronic reorganisation. Additional gain in accuracy is obtained by the inclusion of the transition potential Madelung term M_A^{TP} . It yields results with the average absolute error of 0.2 eV which is ac-

Table 1. Comparison between SCC-MO inner-shell binding energy shifts in some purines as calculated by several electrostatic potential models and the available experimental data (in eV).

Compound	Atom	Eq. (3) ($k_1=k_2, k_3=0$)	Eq. (5) ($k_3=0$)	Eq. (5)	Eq. (6)	Eq. (7)	ΔBE (exp.)
8-azaguanine							
	C ₆	- 2.1	- 2.0	- 1.9	- 1.8	- 1.8	- 1.8
	C ₅	- 3.7	- 4.2	- 4.3	- 4.3	- 4.3	- 4.9
	C ₄	- 2.7	- 3.1	- 3.3	- 3.4	- 3.4	- 3.3
	C ₂	- 2.1	- 2.3	- 2.5	- 2.6	- 2.6	- 2.0
	N ₃	-10.0	-10.1	-10.6	-10.6	-10.4	-10.3
	N ₁	- 8.8	- 9.1	- 9.2	- 9.2	- 8.9	- 8.9
	N ₇	- 9.9	- 9.9	- 9.3	- 9.3	- 9.4	- 9.7
	N ₉	- 8.6	- 8.9	- 8.4	- 8.4	- 8.2	- 8.9
	N ₈	- 9.5	- 9.7	- 8.9	- 8.9	- 8.9	- 8.9
	N ₁₀	- 9.8	- 9.4	-10.0	-10.0	-10.3	-10.3
8-azaxanthine							
	C ₆	- 1.8	- 1.6	- 1.6	- 1.6	- 1.6	- 1.9
	C ₅	- 1.2	- 0.8	- 0.9	- 0.9	- 0.9	- 1.0
	C ₄	- 2.7	- 3.0	- 3.4	- 3.4	- 3.4	- 3.4
	C ₂	- 3.5	- 3.9	- 4.2	- 4.3	- 4.3	- 4.7
	N ₃	- 8.8	- 9.1	- 9.2	- 9.2	- 8.9	- 9.0
	N ₁	- 8.8	- 9.1	- 9.4	- 9.4	- 9.1	- 9.0
	N ₇	- 9.6	- 9.8	- 9.9	- 9.7	- 9.7	- 9.6
	N ₈	- 8.3	- 8.6	- 7.9	- 7.9	- 7.7	- 8.1
	N ₉	- 9.7	- 9.9	-10.2	-10.2	-10.0	-10.6
Hypoxanthine							
	C ₆	- 2.4	- 2.3	- 2.0	- 2.0	- 2.0	- 1.9
	C ₅	- 4.0	- 4.6	- 4.9	- 4.5	- 4.6	- 5.0
	C ₄	- 3.1	- 3.6	- 3.7	- 3.8	- 3.8	- 3.6
	C ₂	- 3.1	- 3.1	- 3.3	- 3.3	- 3.3	- 3.2
	C ₈	- 3.7	- 3.8	- 3.8	- 3.8	- 3.8	- 4.7
	N ₃	-10.1	-10.1	-10.5	-10.5	-10.3	-10.1
	N ₁	- 8.9	- 9.2	- 9.1	- 9.1	- 8.8	- 8.9
	N ₇	-10.3	-10.3	-10.3	-10.3	-10.3	-10.1
	N ₉	- 8.9	- 9.2	- 9.3	- 9.3	- 9.0	- 8.9
Xanthine							
	C ₈	- 3.7	- 3.9	- 4.0	- 4.0	- 4.0	- 4.0
	C ₆	- 2.2	- 2.0	- 1.9	- 1.9	- 1.9	- 1.9
	C ₅	- 4.1	- 4.7	- 4.8	- 4.8	- 4.8	- 4.9
	C ₄	- 2.5	- 2.9	- 3.5	- 3.5	- 3.5	- 3.5
	C ₂	- 1.2	- 0.9	- 1.0	- 1.0	- 1.0	- 1.0
	N ₃	- 8.8	- 9.1	- 9.1	- 9.1	- 8.9	- 9.1
	N ₁	- 8.8	- 9.1	- 9.5	- 9.5	- 9.2	- 9.1
	N ₇	-10.3	-10.3	-10.5	-10.5	-10.5	-10.4
	N ₉	- 8.9	- 9.1	- 9.6	- 9.6	- 9.3	- 9.1

ceptable. One should mention in this respect that the experimental standard deviation for C(1s) levels is about 0.2 eV while the N(1s) experimental data have even larger uncertainty due to the poor resolution of the spectra. Hence the N(1s) errors are sometimes as high as ± 0.4 eV [17] leading to some unresolved peaks like N₈ and N₉ lines in **1**, N₁ and N₉ in **3**, and finally N₁, N₃ and N₉ in **4**. The equivalent core formulas (6) and (7) exhibit errors of 0.3 and 0.2 eV, respectively, giving thus a good overall picture of the ESCA shifts.

Let us focus our attention on the C(1s) levels first. It is interesting to observe variations in bonding energy with changes in chemical environment of the considered atoms. According to the simple rule of thumb the atoms with lower electron density have higher inner-core binding energies. Hence the smallest absolute values of the shifts from the reference level are expected for carbons surrounded by three highly electronegative heteroatoms. Indeed, the most stable C(1s) level is found at C₂ positions in 8-azaxanthine and xanthine where the carbons are linked to the two nitrogens and one oxygen atom. Concomitantly the valence shell electron density at C₂ is low being 3.75 e. The C₂(1s) binding energies in 8-azaguanine and hypoxanthine are according to the formula (7) 288.1 and 287.4 eV, respectively, compatible with number of neighbouring (three and two) nitrogen atoms. The corresponding gross atomic populations 3.81 and 3.87 e are consistent with the aforementioned simple picture. A comparison between C₂(1s) and C₆(1s) levels is instructive. These atoms have the same effective

charges in 8-azaguanine and the same shifts within the q model. However, the relaxation energies are different indicating that the C₆(1s) level is more stable by ~ 0.8 eV (Table 1) according to calculations. Experiment predicts a difference of only ~ 0.2 eV. In any case, this example shows limits of the simple ground state effective charge of the host atom model and underlines the importance of the reorganisation energy in some particular situations. Interestingly, the C₆(1s) binding energy is higher although there are only two heteroatoms in the neighbourhood. This is a consequence of the high electronegative power of the keto-oxygen which is comparable to the joint action of N(sp³) and N(sp²) nitrogens. The C₆ carbon in 8-azaxanthine has higher electron density (3.79 e) than the C₂ carbon (3.75 e) as expected. Therefore, the binding energy of the former atom is lower by ~ 0.7 eV. Here the criterion of the charge of the ionized atom works well again. One can say that it is generally quite good providing a useful tool for the tentative assignment of the experimental spectra. Finally, the C₄(1s) binding energies are always higher than the C₅(1s) values. This is not surprising because the C₄(1s) positions are bound to two nitrogens while C₅ carbons have only one neighbour of this kind. It is noteworthy that carbon atoms with similar immediate environment have very close binding energies. For example, the C₆(1s) energies variations are all within the ± 0.2 eV range measuring from the C₆ value in 8-azaguanine. Slightly larger deviations exhibit positions C₄ and C₅.

The changes of N(1s) levels follow a similar pattern. One can distinguish basically three types of nitrogens in a series of studied molecules: amino group (NH₂), pyrimidine-like (–N=) and pyrrole-like (–NH–) atoms. The gross atomic populations of the atoms N₁₀ and N₃ in **1** are very close, 5.15 and 5.17 (in e), respectively, and their binding energies are practically the same being ~ 399.5 eV. The reasons for the relatively high concentration of the electronic charge on these atoms are different. The sp³ nitrogen of the amino-group has three channels leading to the pool of electrons provided by the electropositive carbon and two hydrogen atoms. The pyridine-like nitrogens have two channels but they are rather effective because the localized sp² σ -lone pairs are energetically very convenient to accommodate electrons. Different situation is found at the pyrrole-like nitrogens N₁. Here, the lone pair is

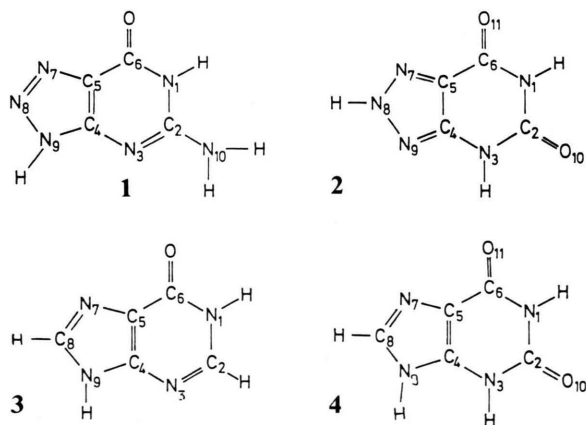


Fig. 1. 8-azaguanine (**1**) : 8-azaxanthine (**2**) : hypoxanthine (**3**) : xanthine (**4**).

placed in the π -orbital and consequently it is substantially delocalized over the ring. This is evidenced by the $2p^\pi(N_1)$ populations. Let us consider numerical values found in 8-azaguanine in more detail. The corresponding entities in other compounds are very similar if not identical. The population of the $2p^\pi(N_1)$ orbital is as low as ~ 1.55 e. Hence, the charge density on N_1 is significantly lower than that of N_3 which is reflected in higher $N(1s)$ binding energy of the former atom the difference being 1.5 eV. The N_7 and N_8 atoms have also a localised σ -lone pair each in the plane of the five membered ring but their BEs are higher than that of N_3 by 1.0 and 1.5 eV, respectively. The reason is again found in their immediate neighbourhood, because they are linked to one or two nitrogens. Their shifts relative to the $N_3(1s)$ level are further enhanced by the differences in the relaxation energy (vide infra, Table 2). The unresolved peaks will be of our concern next. According to the results of the Eq. (7), the $N_9(1s)$ level should be higher by 0.7 eV than that of $N_8(1s)$ in **1** while $N_8(1s)$ and $N_1(1s)$ seem to be virtually the same. The binding energies $N_1(1s)$ and $N_9(1s)$ in **3** are very close the difference being only 0.2 eV (Table 1). The spectrum of xanthine has three unresolved levels of atoms N_1 , N_3 and N_9 . The $N_3(1s)$ level appears to be more stable by 0.3 and 0.4 eV than $N_1(1s)$ and $N_9(1s)$ levels, respectively.

The similarities between the binding energies of nitrogens in similar bonding situations is remarkable. For example, the $N_3(1s)$ level in 8-azaxanthine is virtually the same as $N_1(1s)$ in 8-azaguanine. Further, $N_1(1s)$ BEs in **2** and **4** are practically the same. The shifts of N_7 and N_9 1s electrons in **3** are close to the corresponding values in **4** etc. It is gratifying that the point-charge model corrected when necessary with explicit treatment of relaxation effect yields results which are so close to the chemical intuition and so useful for the interpretation of ESCA spectra.

Relaxation energies

As Hedin and Johansson have shown [18], the relaxation energy is given by

$$E_A^r = \frac{1}{2} [V_A(Z_A + 1) - V_A(Z_A)], \quad (8)$$

where $V_A(Z_A)$ and $V_A(Z_A + 1)$ are potentials exerted on the sites of the atom A undergoing

ionization and its equivalent core counterpart \tilde{A} , respectively. In the point-charge approximation, the relaxation energy E_A^r can be partitioned into three terms

$$E_A^r = E_A^r(\text{contr.}) + E_A^r(\text{flow}) + E_A^r(\text{mix}). \quad (9)$$

Here

$$E_A^r(\text{contr.}) = 13.6 Q_A(\xi_A - \xi_{\tilde{A}})/n \text{ eV}, \quad (10a)$$

$$E_A^r(\text{flow}) = 7.2 (M_{\tilde{A}} - M_A) \text{ eV}, \quad (10b)$$

$$E_A^r(\text{mix.}) = 13.6 \xi_{\tilde{A}}(Q_{\tilde{A}} - Q_A)/n \text{ eV}. \quad (10c)$$

Similar expressions hold for the TP model. It is only necessary to substitute $\xi_{\tilde{A}}$, $Q_{\tilde{A}}$ and $M_{\tilde{A}}$ by the corresponding pseudoatom entities and divide each term by 2. The three contributions (10a–c) have simple physical interpretation. The first term (10a) arises from the contraction of the valence orbitals in the field of the host atom due to the increase in effective positive charge of the nucleus. The second term (10b) is polycentric in nature and appears because of the electron density migration toward the positive hole which leads to the difference in Madelung potentials. It is called charge flow contribution. The last term (10c) is again monocentric but includes both the charge flow and contraction of atomic orbitals. It reflects the fact that the transferred charge feels the field of the nucleus with the charge $n \xi_{\tilde{A}}$. Thus it is called a mixed term.

The estimated relaxation energies are given in Table 2. Their extent is large. However, the variations of E_A^r are at least an order of magnitude smaller. It is interesting to notice that the dominating term is $E_A^r(\text{mix.})$ and that the charge flow contribution is relatively small and of the opposite sign. The corresponding values of $E_A^r(\text{contr.})$ and $E_A^r(\text{flow})$ are virtually the same in the equivalent core and transition potential approaches. They are also fairly constant in a series of studied compounds. The $E_A^r(\text{contr.})$ term is proportional to the valence charge population of the host term A in the ground state, as observed first by Snyder [15]. The largest variations exhibits the $E_A^r(\text{mix.})$ term which also differs in the EC and TP methods by ~ 1 eV. The equality of $E_A^r(\text{contr.})$ and $E_A^r(\text{flow})$ as well as discrepancies for $E_A^r(\text{mix.})$ term are easily understood if the following approximate relation between the corresponding EC and TP entities are taken into account [9]:

$$\xi_A^{\text{TP}} \cong (\xi_{\tilde{A}} + \xi_A)/2 \quad \text{and} \quad M_A^{\text{TP}} \cong (M_{\tilde{A}} + M_A)/2.$$

Table 2. Reorganization energies upon inner-shell ionization in some purines as estimated by equivalent core (EC) and transition potential (TP) methods (in eV).

Compound	Carbon atoms						
	Atom	E_R (contr.)	E_R (flow)	E_R (mix.)		E_R (tot.)	
				EC	TP	EC	TP
8-azaguanine	C ₆	-8.4	3.7	-12.5	-11.5	-17.3	-16.2
	C ₅	-8.6	3.8	-13.2	-12.1	-18.1	-17.0
	C ₄	-8.5	3.8	-13.2	-12.1	-17.9	-16.8
	C ₂	-8.4	3.8	-13.1	-12.0	-17.7	-16.6
8-azaxanthine	C ₆	-8.5	3.8	-13.0	-12.0	-17.7	-16.6
	C ₂	-8.3	3.8	-12.3	-11.3	-16.8	-15.7
	C ₄	-8.4	3.7	-12.4	-11.4	-17.1	-16.1
	C ₅	-8.6	3.8	-13.1	-12.0	-17.9	-16.9
Hypoxanthine	C ₆	-8.6	3.8	-13.3	-12.2	-18.0	-16.9
	C ₅	-8.7	3.8	-13.3	-12.2	-18.2	-17.2
	C ₄	-8.5	3.7	-12.5	-11.5	-17.3	-16.3
	C ₂	-8.6	3.7	-12.6	-11.6	-17.5	-16.4
	C ₈	-8.6	3.8	-12.7	-11.6	-17.5	-16.5
Xanthine	C ₆	-8.5	3.8	-13.3	-12.2	-18.0	-16.9
	C ₅	-8.7	3.7	-13.3	-12.2	-18.2	-17.1
	C ₄	-8.4	3.6	-12.5	-11.5	-17.3	-16.3
	C ₂	-8.3	3.8	-12.4	-11.8	-16.9	-16.3
	C ₈	-8.6	3.7	-12.7	-11.6	-17.6	-16.5
Nitrogen atoms							
8-azaguanine	N ₃	-11.4	3.4	-15.2	-14.2	-23.3	-22.2
	N ₁	-11.1	3.8	-15.1	-14.0	-22.3	-21.2
	N ₇	-11.4	3.6	-14.7	-13.6	-22.5	-21.4
	N ₉	-11.0	3.9	-14.8	-13.8	-22.0	-20.9
	N ₈	-11.3	3.5	-14.9	-13.8	-22.7	-21.6
	N ₁₀	-11.4	3.9	-12.2	-11.4	-19.7	-18.9
8-azaxanthine	N ₃	-11.1	3.9	-14.9	-13.8	-22.0	-21.0
	N ₁	-11.1	3.8	-14.9	-13.8	-22.1	-21.1
	N ₇	-11.3	3.6	-15.2	-14.1	-22.9	-21.8
	N ₈	-10.9	3.9	-14.8	-13.8	-21.8	-20.8
	N ₉	-11.4	3.5	-15.3	-14.2	-23.1	-22.0
Hypoxanthine	N ₃	-11.5	3.5	-15.1	-14.1	-23.1	-22.1
	N ₁	-11.1	3.9	-15.1	-14.0	-22.3	-21.2
	N ₇	-11.5	3.5	-14.9	-13.9	-22.9	-21.3
	N ₉	-11.1	3.9	-14.9	-13.9	-22.2	-21.1
Xanthine	N ₃	-11.1	3.9	-14.8	-13.8	-22.0	-21.0
	N ₁	-11.1	3.8	-14.9	-13.9	-22.2	-21.2
	N ₇	-11.5	3.5	-14.8	-13.8	-22.8	-21.8
	N ₉	-11.1	3.9	-14.9	-13.8	-22.1	-21.1

The difference in $E_A^r(\text{mix.})$ contribution is then proportional to $\zeta_{\bar{A}} - \zeta_A^{\text{TP}} = (\zeta_{\bar{A}} - \zeta_A)/2$. It should be pointed out that nonequivalence of the ΔSCF and transition operator methods in some 3d inorganic complexes was discussed at length by Böhm [19]. Although the relaxation energies estimated by the EC and TP methods differ in absolute values, their changes relative to the given reference E_A^r energy are practically the same. Hence EC and TP models have the same performance when ESCA shifts are considered. The amount of the charge flow toward the ionized atom possessing a positive hole is noteworthy. It neutralizes almost completely the created positive charge in most cases. We shall illustrate this statement with data for hypoxanthine giving the valence populations for the atom in question and its equivalent core counterpart $A(Q_A, \bar{Q}_A)$. They read: $C_2(3.87, 4.82)$, $C_4(3.83, 4.87)$, $C_5(3.39, 4.93)$, $C_6(3.87, 4.77)$, $N_1(5.02, 6.00)$, $N_3(5.18, 6.16)$, $N_7(5.22, 6.18)$ and $N_9(5.03, 5.99)$. One observes that the carbon C_4 is overcompensated by the charge flow since it gets slightly more than one electron. Apart its influence on the ESCA chemical shifts, the relaxation energy is related to the proton affinity [20, 21].

Comparison of the gas and solid state binding energies gives an estimate of the sum of the work function ϕ and the extramolecular reorganization energy according to the equation

$$BE_A(\text{gas}) - BE_A(\text{solid}) = \phi + E_A^r(\text{extra}). \quad (11)$$

It is difficult, unfortunately, to delineate the last two entities. Furthermore, they are obtained as a difference of the two big number so that one gets a rough idea about $\phi + E_A^r(\text{extra})$. They are given in the last column of the Table 3. The average values for carbon and nitrogen atom in the studied purines are 4.9 and 5.6 eV, respectively. Thus $E_N^r(\text{extra})$ is on average by 0.7 eV higher than the $E_C^r(\text{extra})$ which is exactly the result obtained earlier on nucleic acids and related heterocyclic compounds [8]. This finding is compatible with greater electronegativity of the nitrogen. The work function of the molecular solids is surprisingly constant and one can take ~ 4.0 eV as a rough estimate. Then the average $\bar{E}_C^r(\text{extra})$ and $\bar{E}_N^r(\text{extra})$ values are ~ 0.9 and ~ 1.6 eV, respectively. Hence the extramolecular relaxation energies are very small and their variations on different molecular sites should be an order of magnitude smaller. It should be mentioned that

variation of $E_A^r(\text{extra})$ in studied purines (Table 3) is too exaggerated. This is a consequence of the inaccuracy inherent in (11) and possibly due to the presence of hydrogen bonds in solids which are not treated in this work.

4. Conclusion

The solid state ESCA chemical shifts of the studied purines are well described by the semi-empirical SCC-MO ground state potentials calcu-

Table 3. Estimated gas phase energy levels as calculated by the SCC-MO method and $\phi + E_A^r(\text{extra})$ values.

Compound	Atom	Gas phase BE	
		calcd.	$\phi + E_A^r(\text{extra})$
8-azaguanine	C_6	293.9	5.0
	C_5	291.3	5.5
	C_4	292.0	4.6
	C_2	292.8	4.1
	N_3	404.5	4.9
	N_{11}	406.5	5.5
	N_7	406.3	6.1
	N_9	407.6	6.6
	N_8	407.0	6.0
	N_{10}	405.3	5.7
8-azaxanthine	C_6	294.0	5.2
	C_2	294.5	4.8
	C_4	291.8	4.5
	C_5	291.1	5.1
	C_3	406.6	5.7
	N_{11}	406.2	5.3
	N_7	405.5	5.2
	N_8	408.5	6.7
	N_9	405.0	5.7
Hypoxanthine	C_6	291.8	5.1
	C_5	290.8	5.4
	C_4	293.9	4.7
	C_2	292.1	4.6
	C_8	291.8	5.8
	N_3	404.6	4.8
	N_{11}	406.7	5.7
	N_7	404.0	4.2
	N_9	406.4	5.4
Xanthine	C_6	293.9	5.1
	C_5	290.7	4.9
	C_4	291.5	4.3
	C_2	294.5	4.8
	C_{2a}	291.5	4.8
	N_3	406.7	5.9
	N_{11}	406.1	5.3
	N_7	404.6	5.1
	N_9	405.9	5.1

lated in the point-charge approximation. Explicit consideration of the relaxation effect by invoking the EC or TP formalism offers sometimes a more reliable account of the inner-shell photoionization phenomenon, but generally speaking the GPM approach is satisfactory. The results are in good agreement with the experimental data and support the partial assignment of the measured spectra [17]. Splittings of some unresolved N(1s) peaks are proposed illustrating the usefulness of the simple point-charge model. Although the calculated binding energies and charge distributions are interesting per se due to the biochemical importance of the examined compounds, they have much broader impact yielding an additional piece of evidence that atomic point charges are a useful tool for studying molecular behaviour. Indeed, earlier work [7–9]

and present results conclusively show that the point-charge model interprets ESCA chemical shifts in a simple and transparent way which is close to chemical intuition. It should be mentioned in this connection that the point-charge model gives a rationale for a number of other molecular properties like diamagnetic shielding [22] and the diamagnetic part of the magnetic susceptibility [23–25], and that they are closely related to the total molecular SCF energies [26]. Thus we can draw the important conclusion that atomic charges do have a certain meaning within the adopted theoretical framework in spite of the fact that they can not be defined in a unique way. By using the effective charges of atoms one can express a number of molecular properties as a sum of atom-like entities.

- [1] K. Siegbahn, C. Nordling, G. Johansson, J. Hedman, P. F. Heden, K. Hamurin, U. Gelius, T. Bergmar, L. O. Werme, R. Manne, and Y. Baer, *ESCA Applied to Free Molecules*, North Holland, Amsterdam 1969 and the references mentioned therein.
- [2] H. Siegbahn, L. Asplund, P. Kelfve, and K. Siegbahn, *J. El. Spectry. Rel. Phenom.* **7**, 411 (1975) and the references cited therein.
- [3] U. Gelius, P. F. Heden, J. Hedman, B. J. Lindberg, R. Manne, R. Nordberg, C. Nordling, and K. Siegbahn, *Phys. Scrip.* **2**, 70 (1970).
- [4] J. Delhalle, J. M. André, S. Delhalle, J. J. Pireaux, R. Candano, and J. J. Verbist, *J. Chem. Phys.* **60**, 595 (1974).
- [5] A. F. Carley, R. W. Joyner, and M. W. Roberts, *Chem. Phys. Letters* **27**, 580 (1974).
- [6] C. Andersson and R. Larsson, *Chem. Scrip.* **11**, 140 (1977), and the references quoted therein.
- [7] Z. B. Maksić and K. Rupnik, *Croat. Chem. Acta* **50**, 307 (1977); *J. El. Spectry. Rel. Phenom.* **16**, 481 (1979); *Theor. Chim. Acta* **54**, 145 (1980); *Z. Naturforsch.* **35a**, 988 (1980).
- [8] Z. B. Maksić and K. Rupnik, *Nouvo J. Chim.* **5**, 515 (1981).
- [9] Z. B. Maksić, K. Rupnik, and N. Mileusnić, *J. Organomet. Chem.* **219**, 21 (1981).
- [10] W. L. Jolly and D. N. Hendrickson, *J. Amer. Chem. Soc.* **92**, 1863 (1970); D. W. Davis and D. A. Shirley, *Chem. Phys. Letters* **15**, 185 (1972); D. A. Shirley, *Chem. Phys. Letters* **15**, 325 (1972).
- [11] O. Goscinski, B. T. Pickup, and G. Purvis, *Chem. Phys. Letters* **22**, 167 (1973); O. Goscinski, G. Howat, and T. Åberg, *J. Phys.* **B8**, 11 (1975); H. Siegbahn, R. Medeiros, and O. Goscinski, *J. El. Spectry. Rel. Phenom.* **8**, 149 (1976).
- [12] H. Basch, *Chem. Phys. Letters* **5**, 337 (1970).
- [13] M. E. Schwartz, *Chem. Phys. Letters* **6**, 631 (1970); M. E. Schwartz, J. D. Switalski, and R. E. Stronski, *Electron Spectroscopy*, D. A. Shirley ed., North Holland, Amsterdam 1972, p. 605.
- [14] Z. B. Maksić and K. Rupnik, *Z. Naturforsch.* **38a**, 308 (1983).
- [15] L. C. Snyder, *J. Chem. Phys.* **55**, 95 (1971).
- [16] (a) J. Sletten, E. Sletten, and L. H. Jensen, *Acta Cryst.* **B24**, 1692 (1968); (b) H. C. Mez and J. Donohue, *Z. Krist.* **130**, 376 (1969); (c) R. C. Bingham, M. J. S. Dewar, and D. H. Lo, *J. Amer. Chem. Soc.* **97**, 1285 (1975); (d) E. Clementi and D. L. Raimondi, *J. Chem. Phys.* **38**, 2686 (1963).
- [17] J. Peeling, F. Hruska, and N. S. McIntyre, *Can. J. Chem.* **56**, 1955 (1978).
- [18] L. Hedin and G. Johansson, *J. Phys.* **B2**, 1336 (1969).
- [19] M. C. Böhm, *Z. Naturforsch.* **36a**, 1205 (1981).
- [20] R. L. Martin and D. A. Shirley, *J. Amer. Chem. Soc.* **96**, 5299 (1974); D. W. Davis and J. W. Rabalais, *J. Amer. Chem. Soc.* **96**, 5305 (1974).
- [21] J. M. Buschek, F. S. Jørgensen, and R. S. Brown, *J. Amer. Chem. Soc.* **104**, 5019 (1982) and the references cited therein.
- [22] Z. B. Maksić and K. Rupnik, *Theor. Chim. Acta* **62**, 397 (1983).
- [23] Z. B. Maksić and J. E. Bloor, *J. Phys. Chem.* **77**, 1520 (1973).
- [24] Z. B. Maksić, *Croat. Chem. Acta* **45**, 431 (1973); *J. Mol. Structure* **20**, 41 (1974); *Croat. Chem. Acta* **48**, 309 (1976).
- [25] Z. B. Maksić and N. Mikac, *Chem. Phys. Letters* **56**, 363 (1978); *J. Mol. Struct.* **44**, 255 (1978); *Mol. Phys.* **40**, 455 (1980).
- [26] Z. B. Maksić and K. Rupnik, *Theor. Chim. Acta* **62**, 219 (1983).